

10-22-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Michael P. Lewis

Serial No.:

10/606,982

Group No.:

Filed:

06/26/2003

Examiner:

For:

EXTERNAL COUNTERPULSATION UNIT CUFF

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. Preliminary Statements
- 2. Forms PTO 1449 (now PTO/SB/08A and 08B)
- 3. Copies of Listed Information Items Accompanying This Statement
- 4. Concise Explanation of English Language Listed Information Items
- 5. Identification of Person(s) Making This Information Disclosure Statement

Section 1. Preliminary Statements

Applicant(s) submits herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this Information Disclosure Statement and the documents referred to as attached thereto are being deposited with the United States Postal Service on the date listed below, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number ER 216 165 348 US, addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

10/21/03 Date

Kenneth A. Keeling Registration No. 31,842 The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. PTO 1449 (Now Forms PTO/SB/08A and 08B)

PTO Form 1449 is attached hereto.

Section 3. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Form PTO-1449 accompany this information statement.

Section 4. Concise Explanation of English Language Listed Information Items

1. U.S. Pat. No. 5,469,800, issued to Gelfand et al., on June 23, 1998

Discloses a vest design for cardiopulmonary resuscitation including a rectangular shaped cuff and an inflatable bladder capable of radial expansion to first conform to a patient's chest dimensions and then to apply circumferential pressure.

2. U.S. Pat. No. 5,174,281, issued to Lee, on December 29, 1992

Discloses a pneumatic cuff assembly fitting over and around the entire maternal abdomen to assist the delivery of the fetus at the final stage of child birth. The cuff includes a right and left double layer inflatable section, each section having a plurality of connecting elongated fingered leaf segments, the middle leaf segments being longer than the end leaf segments.

3. U.S. Pat. No. 891,181, issued to Mitchell, on June 16, 1908

Discloses an inflatable bandage having ends that are tapered so that they mate together around a limb.

4. U.S. Pat. No. 6,010,471, issued to Ben-Noon, on January 4, 2000

Discloses body treatment equipment for treatment of a body part. The equipment comprises an elongated band adapted to be spirally wound around the body part so as to encase it with successive obliquely disposed turns and encompass a series of mutually juxtaposed, longitudinally disposed band segments along the length of the body part.

Section 5. Identification of Person(s) Making This Information Disclosure Statement

The pe	erson making this statement is:					
(a)	the inventor(s) who signs below.					
(b)	☐ an individual associated with the filing and prosecution of this application.					
(c)	the practitioner who signs below on the basis of the information:					
	 □ supplied by the inventor(s). □ supplied by an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)) in the practitioner's file. 					

Respectfully submitted,

Kenneth A. Keeling Registration No. 31,842

October 20, 2003 Keeling Hudson L.L.C. 901 North Post Oak Road Houston, Texas 77024 713-680-1447 713-680-8567 (Fax)

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/606,982			
Filing Date	06/26/03			
First Named Inventor	Michael P. Lewis			
Art Unit				
Examiner Name				
Attorney Docket Number				

				DOCUMENTS	0.1
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	Number-Kind Code ^{2 (V known)}			1 iguies Appear
		^{US-} 5,769,800-B1	06-23-1998	Gelfand et al.	
		^{US-} 5,174,281-B1	12/29/1992	Lee	
		^{US-} 891,181-B1	06-16-1908	Michell	
		^{US-} 6,010,471-B1	01-04-2000	Ben-Noon	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
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Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.